



Lloyd's Register
LRQA

LRQA Assurance Statement

2018 ISO 14001:2015 and OHSAS 18001:2007 Attestation Statement for GE

Terms of Engagement

Lloyd's Register Quality Assurance, Inc. ("LRQA") was engaged by GE to review GE's enterprise-wide EHS management system¹ ("the EHS System") against the requirements of the international standards for environmental management systems, ISO 14001:2015, and health and safety management systems, OHSAS 18001:2007

The objectives of the review were:

- To confirm that the design of *the EHS System* was aligned with ISO 14001 and OHSAS 18001 and had incorporated all of the individual management system elements;
- To confirm that *the EHS System* established at the GE Corporate Level, was the primary mechanism used to manage occupational health and safety and the environmental aspects of operations at all levels and operating locations in the GE organizational hierarchy; and
- To confirm that implementation of *the EHS System* had contributed to reported improvements in health, safety and environmental performance.

Management Responsibility

GE's management was responsible for designing and maintaining *the EHS System*. LRQA's responsibility was to carry out an assurance engagement on *the EHS System* in accordance with our contract with GE. Ultimately, *the EHS System* and its implementation remain the sole responsibility of GE.

LRQA's Approach

The review of GE's EHS System was undertaken between April 2018 and August 2018 using a team of LRQA's certified ISO 14001 and OHSAS 18001 lead assessors. In order to form our conclusions, the assurance was carried out as a sampling exercise and covered the following activities:

- A review of the high level EHS System documentation to confirm that all requirements of ISO 14001 and OHSAS 18001 had been addressed;
- Interviews with leaders at the corporate level responsible for key EHS functional areas within GE's OneEHS organizational structure. These areas included the EHS Leadership Team, including the General Manager EHS, Business EHS leadership, Enterprise Standards, EHS Regional Operations, Resource Strategies including the Product Lifecycle Center of Excellence, and EHS Legal;



¹ GE's proprietary EHS System, consisting of Framework 2.0 and supporting management system programs, is established at the GE corporate level for deployment throughout GE's global operations. Implementation across the GE enterprise is intended to follow a risk-based approach whereby application of the Framework at the various organizational levels dictates the specific programs within the EHS System that are required at each individual operational unit.



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- A review of environmental, health and safety records maintained on the GE enterprise-wide data management system supporting implementation of internal audit, corrective action, training, performance improvement and other programs; and
- Site visits to review local EHS System implementation at eleven operating sites, selected to provide a representative sample based on size and complexity, geography, GE business-area and ISO 14001 and/or OHSAS 18001 certification status.

LRQA's Opinion

Based on LRQA's approach, it is our opinion that:

- The design of *the EHS System* was aligned with ISO 14001:2015 and OHSAS 18001:2007. No elements of the standards were identified which had not been addressed as documented requirements of *the EHS System*;
- At the sites sampled, an EHS Management System had been established based on requirements of *the GE EHS System*;
- Based on a review of records maintained on the enterprise-wide data management system, no evidence was identified to indicate sites were implementing EHS management systems other than those established through implementation of *the GE EHS System*; and
- Programs and initiatives linked to implementation of *the EHS System* were contributing to reported improvements in environmental, health and safety performance.

The opinion expressed is formed on the basis of a limited level of assurance. Consistent with this level of assurance, and the approach described above, the assurance is not intended to replace or otherwise be considered equivalent to ISO 14001 and/or OHSAS 18001 certification, as provided under established accreditation programs.

LRQA's Recommendations

Observations, including areas for potential improvement, were provided in a report to GE's management. These observations do not affect the opinions expressed in this assurance statement.

Signed:

Dated: August 2018

Michael Wardell

Lead Verifier

On behalf of Lloyd's Register Quality Assurance, Inc.

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