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Client:

Hangzhou Hikvision Digital Technology Co., Ltd.

No.555 Qianmo Road, Binjiang District Hangzhou310052, China

Buyer's name:

N/A

Test item(s):

NETWORK CAMERA

Test Model No(s):

DS-2CD2T86G2-4I

Reference Style No(s).

DS-2CD2T86G2-4IUHK,DS-2CD2T86G2-4ICKV,DS-2CD2T86G2-4IUVS,DS-2CD2T86G2-4IKVO,DS-2CD2T86G2-4IHUN,DS-

2CD2T86G2-2I,DS-2CD2T86G2-2IUHK,DS-2CD2T86G2-2ICKV,DS-2CD2T86G2-2IUVS,DS-2CD2T86G2-2IKVO,DS-2CD2T86G2-2IHUN

Sample Receiving date:

2019-06-21

Test period:

2019-06-24 to 2019-07-08

Delivery condition:

Apparent good, Samples tested as received

Test specification:

Customer's requirement:

Test result:

Cadmium, Lead, Chromium (VI), Mercury, Polybrominated biphenyls (PBB) and Polybrominated diphenyl ethers (PBDE)

Benzylbutyl phthalate (BBP), Dibutyl phthalate (DBP), Bis(2-ethylhexyl)

phthalate (DEHP), Diisobutyl phthalate (DIBP)

**PASS** 

According to RoHS (recast): Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment, 2011/65/EU Annex II

and its amendment Directive (EU) 2015/863

## Other Information:

The testing items in the report were subcontracted to the lab which complied with ISO17025.

For and on behalf of

TÜV Rheinland / CCIC (Ningbo) Co., Ltd.

Name/Position

2019-07-09

Gangiang Xie / Department Manager TUV Rhe'nland Group

Date

Test result is drawn according to the kind and extent of tests performed.

Geprüft und Genehmig (Reviewed and approved)

This test report relates to the a. m. test sample. Without permission of the test center this test report is not permitted to be duplicated in extracts. This test report does not entitle to carry any safety mark on this or similar products.

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# 1. Screening Test by XRF Spectroscopy

Test Method:

Cadmium, Lead, Mercury, Chromium, Bromine

-With reference to IEC 62321-3-1: 2013

**Testing Period:** 

2019-06-24 ~ 2019-07-08

No.	Material Description	Cd	Pb	Cr^	Hg	Br^
1	White plastic sun shade	n.d.	n.d.	n.d.	n.d.	n.d.
2	Silvery metal screw	n.d.	n.d.	d(^2)	n.d.	N.A.
3	Black sticker	n.d.	n.d.	n.d.	n.d.	n.d.
4	Silvery metal screw	n.d.	n.d.	n.d.	n.d.	N.A.
5	White metal shell	n.d.	n.d.	n.d.	n.d.	N.A.
6	Silvery metal screw .	n.d.	n.d.	n.d.	n.d.	N.A.
7	White rubber ring	n.d.	n.d.	n.d.	n.d.	n.d.
8	Black rubber gasket	n.d.	n.d.	n.d.	n.d.	n.d.
9	Transparent plastic lamp shade	n.d.	n.d.	n.d.	n.d.	n.d.
10	Transparent glass shell	n.d.	n.d.	n.d.	n.d.	N.A.
11	Black plastic cover	n.d.	n.d.	n.d.	n.d.	n.d.
12	Black plastic ring	n.d.	n.d.	n.d.	n.d.	n.d.
13	White bar cord label paper	n.d.	n.d.	n.d.	n.d.	n.d.
14	White glue	n.d.	n.d.	n.d.	n.d.	n.d.
15	Desiccant	n.d.	n.d.	n.d.	n.d.	n.d.
16	Green blown sponge	n.d.	n.d.	n.d.	n.d.	n.d.
17	Silvery metal threaded stud	n.d.	n.d.	n.d.	n.d.	N.A.
18	Silvery metal screw	n.d.	n.d.	n.d.	n.d.	N.A.
19	Yellow blown sponge	n.d.	n.d.	n.d.	n.d.	n.d.
20	Silvery metal frame	n.d.	n.d.	n.d.	n.d.	N.A.
21	Coppery metal slice	n.d.	n.d.	n.d.	n.d.	N.A.
22	Beige blown sponge	n.d.	n.d.	n.d.	n.d.	n.d.
23	White metal lamp board	n.d.	n.d.	n.d.	n.d.	N.A.
24	Transparent lamp bead	n.d.	n.d.	n.d.	n.d.	n.d.
25	Black lamp holder	n.d.	n.d.	n.d.	n.d.	n.d.
26	Beige plastic terminal	n.d.	n.d.	n.d.	n.d.	d(^1)
27	Silvery metal screw	n.d.	n.d.	n.d.	n.d.	N.A.
28	White plastic cover	n.d.	n.d.	n.d.	n.d.	n.d.
29	Transparent rubber ring	n.d.	n.d.	n.d.	n.d.	n.d.
30	Silvery metal gasket	n.d.	n.d.	d(^2)	n.d.	N.A.
31	Silvery metal screw	n.d.	n.d.	d(^2)	n.d.	N.A.
32	Lens	n.d.	n.d.	n.d.	n.d.	N.A.
33	Black plastic lens shell	n.d.	n.d.	n.d.	n.d.	n.d.



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No.	Material Description	Cd	Pb	Cr^	Hg	Br^
34	34 Black plastic shell		n.d.	n.d.	n.d.	n.d.
35	Transparent lens	n.d.	n.d.	n.d.	n.d.	N.A.
36	Color lens	n.d.	n.d.	n.d.	n.d.	N.A.
37	Black plastic slice	n.d.	n.d.	n.d.	n.d.	n.d.
38	Magnet	n.d.	n.d.	n.d.	n.d.	N.A.
39	Black plastic pointer	n.d.	n.d.	n.d.	n.d.	n.d.
40	Coppery winding	n.d.	n.d.	n.d.	n.d.	N.A.
41	Black plastic bobbin	n.d.	n.d.	n.d.	n.d.	n.d.
42	Silvery metal frame	n.d.	n.d.	n.d.	n.d.	N.A.
43	Green PCB board	n.d.	n.d.	n.d.	n.d.	d(^1)
44	Soldering tin (PCB board)	n.d.	d(^1)	n.d.	n.d.	N.A.
45	Orange plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
46	Green plastic sheath	n.d.	n.d.	n.d.	n.d.	n.d.
47	SMD IC (1B3E)	n.d.	n.d.	n.d.	n.d.	n.d.
48	XTL (HLX9A)	n.d.	n.d.	n.d.	n.d.	N.A.
49	Brown plastic FFC inerface	n.d.	n.d.	n.d.	n.d.	n.d.
50	Beige plastic FFC interface	n.d.	n.d.	n.d.	n.d.	n.d.
51	Black PCB board	n.d.	n.d.	n.d.	n.d.	d(^1)
52	Lens	n.d.	n.d.	n.d.	n.d.	N.A.
53	Coppery foil (PCB board)	n.d.	n.d.	n.d.	n.d.	N.A.
54	Black plastic switch	n.d.	n.d.	n.d.	n.d.	n.d.
55	SMD IC (SEC 907)	n.d.	n.d.	n.d.	n.d.	n.d.
56	SMD IC (RTL8201F)	n.d.	n.d.	n.d.	n.d.	
57	SMD IC (FJ1802)	n.d.	n.d.	n.d.	n.d.	n.d.
58	Black XTL (CA838)	n.d.	n.d.	n.d.	n.d.	N.A.
59	Inductor	n.d.	n.d.	n.d.	n.d.	N.A.
60	Silvery metal shell (electrolytic capacitor)	n.d.	n.d.	n.d.	n.d.	
61	Soldering tin (PCB board)	n.d.	d(^1)	n.d.	n.d.	N.A.
62	Black PCB board	n.d.	n.d.	n.d.	n.d.	
63	SMD resistor (R250)	n.d.	n.d.	n.d.	n.d.	d(^1)
64	Golden metal wafer	n.d.	n.d.	n.d.	n.d.	N.A.
65	SMD IC (HK-2019-1)	n.d.	n.d.	n.d.	n.d.	
66	Silvery metal interface	n.d.	n.d.	d(^2)	n.d.	n.d. N.A.
67	Magnetic frame (transformer)	n.d.	n.d.	n.d.	n.d.	N.A.
68	Blue plastic shell (electrolytic capacitor)	n.d.	n.d.	n.d.	n.d.	n.d.
69	SMD resistor (3570)	n.d.	n.d.	n.d.	n.d.	n.d.
70	Black PCB board	n.d.	n.d.	n.d.	n.d.	d(^1)
71	SMD IC (1841)	n.d.	n.d.	n.d.	n.d.	n.d.



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No.	Material Description	Cd	Pb	Cr^	Hg	Br^
72	GROUP-TEK	n.d.	n.d.	n.d.	n.d.	n.d.
73	Soldering tin (PCB board)	n.d.	d(^1)	n.d.	n.d.	N.A.
74	SMD capacitor	n.d.	n.d.	n.d.	n.d.	n.d.
75	SMD diode (Q848)	n.d.	n.d.	n.d.	n.d.	n.d.
76	SMD IC (MB6S)	n.d.	n.d.	n.d.	n.d.	n.d.
77	White ceramic tube (fuse)	n.d.	n.d.	n.d.	n.d.	N.A.
78	Golden metal cap (fuse)	n.d.	d(^1)	n.d.	n.d.	N.A.
79	SMD IC (2376H)	n.d.	n.d.	n.d.	n.d.	n.d.
80	Brown plastic slice (FFC)	n.d.	n.d.	n.d.	n.d.	n.d.
81	Yellow FFC	n.d.	n.d.	n.d.	n.d.	n.d.
82	Golden metal pin (FFC)	n.d.	n.d.	n.d.	n.d.	N.A.
83	White plastic terminal	n.d.	n.d.	n.d.	n.d.	n.d.
84	White plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
85	Silvery metal wire core	n.d.	n.d.	n.d.	n.d.	N.A.
86	Red plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
87	black plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
88	Orange plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
89	White/orange plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
90	Green plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
91	White/green plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
92	Gray plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
93	Purple plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
94	Blue plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
95	Green plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
96	Brown plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
97	White plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
98	Orange plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
99	Yellow plastic wire sheath	n.d.	n.d.	n.d.	n.d.	n.d.
100	White plastic sleeve	n.d.	n.d.	n.d.	n.d.	n.d.
101	Golden metal pin	n.d.	n.d.	n.d.	n.d.	N.A.
102	White plastic plug	n.d.	n.d.	n.d.	n.d.	n.d.
103	Soldering tin	n.d.	d(^1)	n.d.	n.d.	N.A.
104	Black plastic plug core	n.d.	n.d.	n.d.	n.d.	n.d.
105	White filler	n.d.	n.d.	n.d.	n.d.	n.d.
106	White plastic plug shell	n.d.	n.d.	n.d.	n.d.	n.d.
107	Golden metal pin	n.d.	n.d.	n.d.	n.d.	N.A.
108	Soldering tin	n.d.	d(^1)	n.d.	n.d.	N.A.
109	Silvery metal plug shell	n.d.	n.d.	n.d.	n.d.	N.A.



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No.	Material Description	Cd	Pb	Cr^	Hg	Br^
110	Black plastic plug core	n.d.	n.d.	n.d.	n.d.	n.d.
111	Silvery metal plug core	n.d.	n.d.	n.d.	n.d.	N.A.
112	White plastic plug shell	n.d.	n.d.	n.d.	n.d.	n.d.
113	White plastic wire sleeve	n.d.	n.d.	n.d.	n.d.	n.d.
114	White plastic cable clip	n.d.	n.d.	n.d.	n.d.	n.d.
115	Green plastic bag	n.d.	n.d.	n.d.	n.d.	n.d.
116	Silvery metal screw	n.d.	n.d.	d(^2)	n.d.	N.A.
117	White plastic expansion screw	n.d.	n.d.	n.d.	n.d.	n.d.
118	White plastic tube	n.d.	n.d.	n.d.	n.d.	n.d.
119	White rubber gasket	n.d.	n.d.	n.d.	n.d.	n.d.
120	Transparent plastic bag	n.d.	n.d.	n.d.	n.d.	n.d.
121	White rubber tube	n.d.	n.d.	n.d.	n.d.	n.d.
122	Specification	n.d.	n.d.	n.d.	n.d.	n.d.
123	White sticker	n.d.	n.d.	n.d.	n.d.	n.d.
124	Silvery metal wrench	n.d.	n.d.	n.d.	n.d.	N.A.



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### Abbreviation:

denotes Lead Cd denotes Cadmium denotes Mercury Hg Cr denotes Chromium Cr(VI) denotes Chromium(VI) Br denotes Bromine **PBBs** denotes Total Polybrominated Biphenyls PBDEs denotes Total Polybrominated Diphenyl Ethers denotes less than N.A. denotes Not Applicable denotes Not Detected n.d. denotes Detected

#### Remark:

- (^1) The screening result was found in the inconclusive region (X), thus the further wet chemistry tests are suggested.
- (^2) The Chromium (VI) content in surface layer has been confirmed with reference to IEC 62321-7-1: 2015.

# XRF Screening limits for different materials:

Materials	Concentration (mg/kg)							
	Cd	Cr	Pb	Hg	Br			
Metallic material	P≤ 60 < X ≤140 < F	P≤ 640 < X	P≤ 670 < X≤1360 < F	P≤ 660 < X≤1340 < F	NA			
Polymeric material	P≤ 60 < X ≤140 < F	P≤ 640 < X	P≤ 670 < X≤1360 < F	P≤ 660 < X≤1340 < F	P≤ 290 < X			
Electronic material	P≤ 40 < X ≤160 < F	P≤ 440 < X	P≤ 470 < X≤1640 < F	P≤460 < X≤1540 < F	P≤ 240 < X			



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## 2. Confirmation Test by Wet Chemistry

Test Method:

Total Cadmium, Lead, Mercury, Chromium

-Ref. to IEC 62321-4: 2013+AMD1:2017 & IEC 62321-5: 2013

Chromium (VI)

- For Metal material - Ref. to IEC 62321-7-1: 2015

- For Plastic or Electronic material - Ref. to IEC 62321-7-2:2017

- For Leather material - Ref. to ISO 17075: 2017

PBBs, PBDEs - Ref. to IEC 62321-6: 2015

Testing Period:

2019-06-24 ~ 2019-07-08

#### Material list:

Material No.	Material	Color	Test Plan A=Test HM only B=Test FR only C=Test HM+FR
2	metal	silvery	Α
26	plastic	beige	В
30	metal	silvery	Α
31	metal	silvery	Α
43	PCB	green	В
44	solder	silvery	Α
51	PCB	black	В
61	solder	silvery	Α
62	PCB	black	В
66	metal	silvery	Α
70	PCB	black	В
73	solder	silvery	Α
78	metal	golden	Α
103	solder	silvery	Α
108	solder	silvery	Α
116	metal	silvery	Α

Abbreviation:

HM (Heavy metal) = Cd, Pb, Hg, Cr (VI)

FR (Flame Retardant) = PBBs, PBDEs



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## Test result:

	Cd	Pb	Cr (VI)	Hg	PBBs	PBDEs
Maximum Permissible Limit (%)	0.01	0.1	0.1	0.1	0.1	0.1

	Results (%)								
Material No.	Cd	Pb	Cr^	Hg	PBBs(*)	PBDEs(*)			
material No.	RL (%)								
	0.001	0.001	0.001	0.001	0.0005	0.0005			
26	N.A.	N.A.	N.A.	N.A.	N.D.	N.D.			
43	N.A.	N.A.	N.A.	N.A.	N.D.	N.D.			
44	N.A.	0.022	N.A.	N.A.	N.A.	N.A.			
51	N.A.	N.A.	N.A.	N.A.	N.D.	N.D.			
61	N.A.	0.016	N.A.	N.A.	N.A.	N.A.			
62	N.A.	N.A.	N.A.	N.A.	N.D.	N.D.			
70	N.A.	N.A.	N.A.	N.A.	N.D.	N.D.			
73	N.A.	0.013	N.A.	N.A.	N.A.	N.A.			
78	N.A.	N.D.	N.A.	N.A.	N.A.	N.A.			
103	N.A.	0.014	N.A.	N.A.	N.A.	N.A.			
108	N.A.	0.018	N.A.	N.A.	N.A.	N.A.			



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Material no.	Hexavalent Chromium Content (μg/cm²)(*1)
Material III.	RL: 0.10 μg/cm <sup>2</sup>
2	Negative
30	Negative
31	Negative
66	Negative
116	Negative

## Abbreviation:

Pb	denotes Lead
Cd	denotes Cadmium
Hg	denotes Mercury
Cr	denotes Chromium
Cr(VI)	denotes Chromium(VI)
PBBs	denotes Total Polybrominated Biphenyls
<b>PBDEs</b>	denotes Total Polybrominated Diphenyl Ethers
N.D.	denotes Not Detected
RL	denotes Reporting Limit
N.A.	denotes Not Applicable
٨	The total Chromium have been determined
%	denotes percentage



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#### Remark:

- 1. Component(s)/ materials(s) with an area of less than 2mm x 2mm will not be selected for testing according to RoHS Directive 2011/65/EU due to technical reason.
- 2. For the test sample does not have detail materials information provided by client, visually identical materials (e.g. wire insulation, solder points, etc.) will be considered as the same material.
- Solder points on a printing circuit board will be examined several times based on optical anomalies
  or discoloration of the solder point(s) unless the solder point(s) is obviously generated automatically
  during production.
- 4. All other materials will be sampled and tested at one test point representatively.
- (\*1) The total chromium content in Metal sample was found to be exceeded the maximum permissible limit (0.1%). Thus, the Chromium (VI) content in surface layer have been confirmed with reference to IEC 62321-7-1: 2015 Annex.

	Chromium (VI) concentration	Qualitative result
Negative	<0.1µg/cm²	The sample is negative for Cr(VI).  -The Cr(VI) concentration is below the limit of quantification. The coating is considered a non Cr(VI) based coating.
Inconclusive	≥0.1µg/cm² and ≤0.13 µg/cm²	The result is considered to be inconclusive.  –Unavoidable coating variations may influence the determination.  Recommendation: if additional samples are available, perform a total of 3 trials to increase sampling surface area. Use the averaged result of the 3 trails for the final determination.
Positive	>0.13 μg/cm²	The sample is positive for Cr(VI).  -The Cr(VI) concentration is above the limit of quantification and the statistical margin of error.  The sample coating is considered to contain Cr(VI).

- (\*2) The total chromium content in plastic sample or electronic sample was found to be exceeded the maximum permissible limit (0.1%). Thus, the Chromium (VI) content have been confirmed with reference to IEC 62321-7-2:2017.
- (\*3) The total chromium content in leather sample was found to be exceeded the maximum permissible limit (0.1%). Thus, the Chromium (VI) content have been confirmed with reference to ISO 17075: 2017.

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(\*) The reporting limit for each individual PBBs and individual PBDEs are:

	Reporting Limit (%)	
	Monbromobiphenyl	0.0001
	Dibromobiphenyl	0.0001
	Tribromobiphenyl	0.0001
	Tetrabromobiphenyl	0.0001
PBBs	Pentabromobiphenyl	0.0002
1 003	Hexabromobiphenyl	0.0002
	Heptabromobiphenly	0.0002
	Octabromobiphenyl	0.0005
	Nonabromobiphenyl	0.0005
	Decabromobiphenyl	0.0005
	Monbromodiphenyl ether	0.0001
	Dibromodiphenyl ether	0.0001
	Tribromodiphenyl ether	0.0001
	Tetrabromodiphenyl ether	0.0001
PBDEs	Pentabromodiphenyl ether	0.0002
I DDES	Hexabromodiphenyl ether	0.0002
	Heptabromodiphenyl ether	0.0002
	Octabromodiphenyl ether	0.0005
	Nonabromodiphenyl ether	0.0005
	Decabromodiphenyl ether	0.0005

6(c) Copper alloy containing up to 4 % lead by weight.



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# 3. BBP, DBP, DEHP, DIBP content

Test method: Organic solvent extraction, analyzed by GCMS (Ref. to DIN EN 62321-8: 2014 (IEC 111/321/CD: 2013))

**Testing Period:** 

2019-06-24 ~ 2019-07-08

### Test result:

	BBP	DBP	DEHP	DIBP
Maximum permissible Limit (%)	0.1	0.1	0.1	0.1

Test No.		Results (%)				
	Material No.	BBP	DBP	DEHP	DIBP	
		RL (%)				
		0.005	0.005	0.005	0.005	
T001	7+8+29	n.d.	n.d.	n.d.	n.d.	
T002	119+121	n.d.	n.d.	n.d.	n.d.	
T003	16+19+22	n.d.	n.d.	n.d.	n.d.	
T004	1+9+11	n.d.	n.d.	n.d.	n.d.	
T005	12+28+33	n.d.	n.d.	n.d.	n.d.	
T006	34+37+39	n.d.	n.d.	n.d.	n.d.	
T007	41+43	n.d.	n.d.	n.d.	n.d.	
T008	45+46	n.d.	n.d.	n.d.	n.d.	
T009	26+49+50	n.d.	n.d.	n.d.	n.d.	
T010	51+54+62	n.d.	n.d.	n.d.	n.d.	
T011	68+70	n.d.	n.d.	n.d.	n.d.	
T012	80+81	n.d.	n.d.	n.d.	n.d.	
T013	83+84	n.d.	n.d.	n.d.	n.d.	
T014	86+87+88	n.d.	n.d.	n.d.	n.d.	
T015	89+90+91	n.d.	n.d.	n.d.	n.d.	
T016	92+93+94	n.d.	n.d.	n.d.	n.d.	
T017	95+96+97	n.d.	n.d.	n.d.	n.d.	
T018	98+99	n.d.	n.d.	n.d.	n.d.	
T019	102+104	n.d.	n.d.	n.d.	n.d.	
T020	105+106	n.d.	n.d.	n.d.	n.d.	
T021	110+112	n.d.	n.d.	n.d.	n.d.	
T022	100+113+114	n.d.	n.d.	n.d.	n.d.	
T023	3+13+14	n.d.	n.d.	n.d.	n.d.	
T024	115+117+118	n.d.	n.d.	n.d.	n.d.	
T025	120+122+123	n.d.	n.d.	n.d.	n.d.	



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Abbreviation: BBP= Benzylbutyl phthalate

DBP= Dibutyl phthalate

DEHP= Bis(2-ethylhexyl) phthalate

DIBP= Diisobutyl phthalate

n.d.= Not Detected (< Reporting Limit)

RL = Reporting Limit N.A. = Not Applicable % = percentage

### Remark:

Hangzhou Hikvision Digital Technology Co., Ltd. declared that: The following models and test model DS-2CD2T86G2-4I are the same serials, all components were made by the same raw material but different in shapes and sizes. Hangzhou Hikvision Digital Technology Co., Ltd. will be responsible for this statement.

DS-2CD2T86G2-4IUHK,DS-2CD2T86G2-4ICKV,DS-2CD2T86G2-4IUVS,DS-2CD2T86G2-4IKVO,DS-2CD2T86G2-4IHUN,DS-2CD2T86G2-2I,DS-2CD2T86G2-2IUHK,DS-2CD2T86G2-2ICKV,DS-2CD2T86G2-2IUVS,DS-2CD2T86G2-2IKVO,DS-2CD2T86G2-2IHUN

## Sample Photo(s):



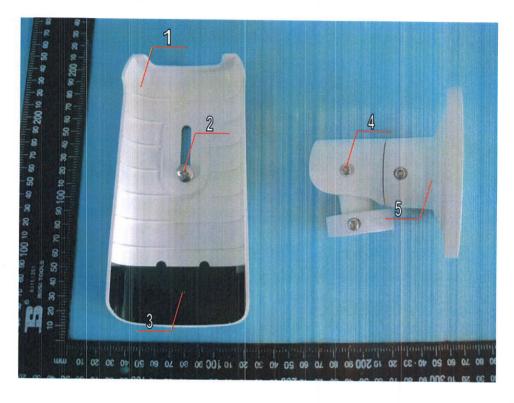
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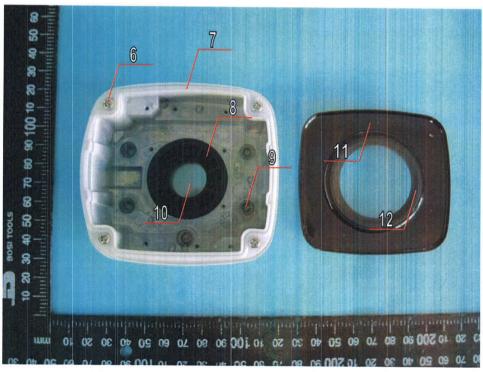
Tel.: +86 574 8767 1566 · Fax: +86 574 8767 2566 · Mail: service-gc@tuv.com · Web: www.chn.tuv.com



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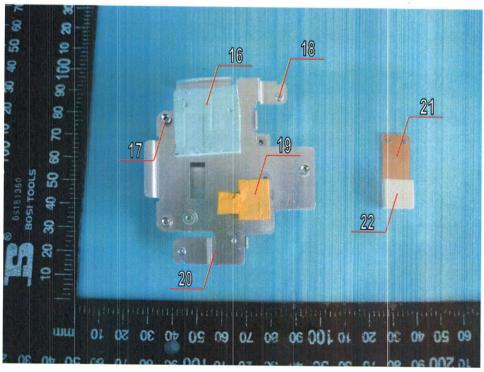
TÜV Rheinland / CCIC (Ningbo)Co., Ltd. · 3F,Building C13,R&D Park,No.32 Lane 299 Guanghua Road, National Hi-Tech Zone,Ningbo 315048, P.R.China
Tel.: +86 574 8767 1566 · Fax: +86 574 8767 2566 · Mail: <a href="mailto:service-gc@tuv.com">service-gc@tuv.com</a> · Web: www.chn.tuv.com



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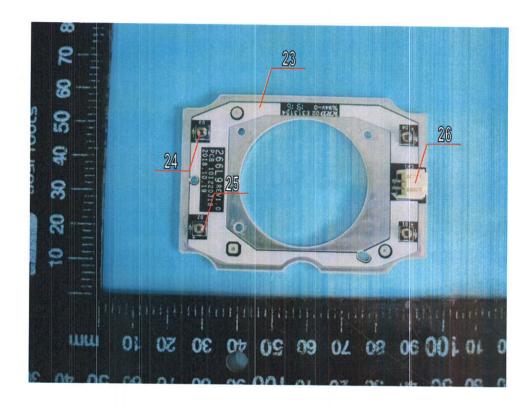


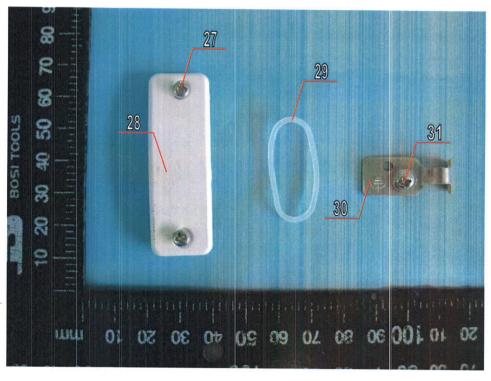




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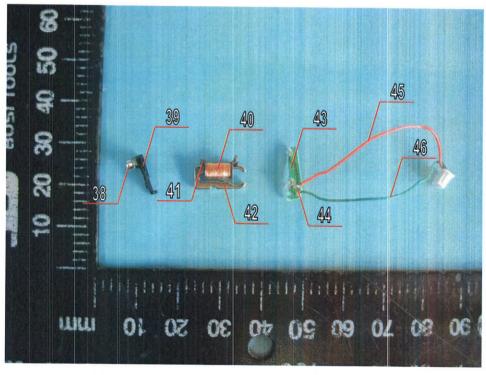




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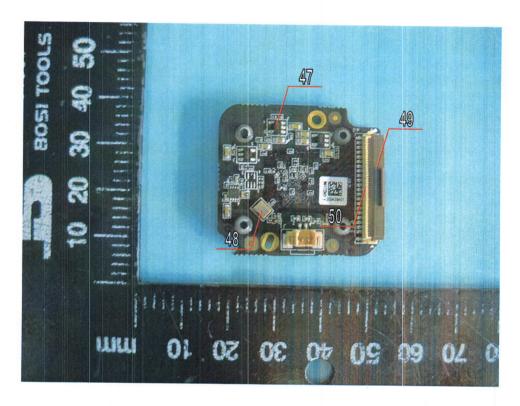


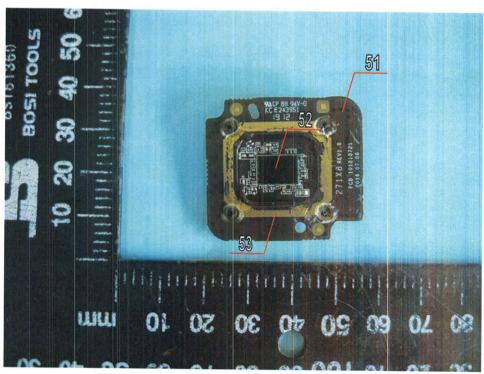




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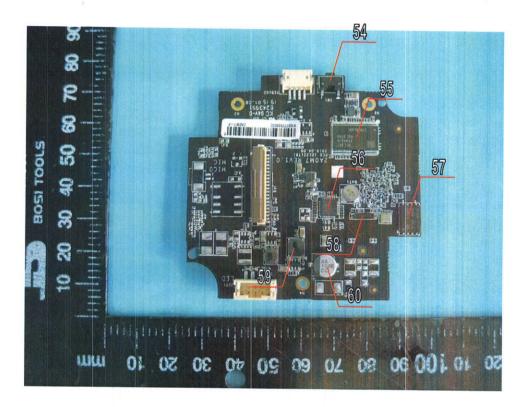


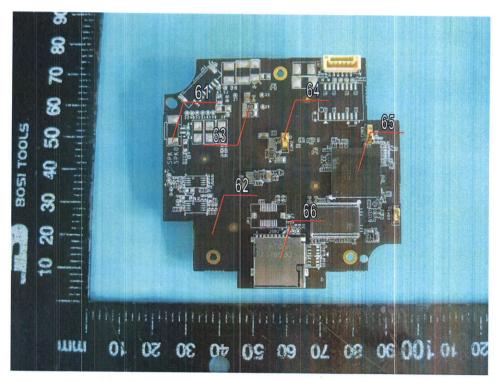




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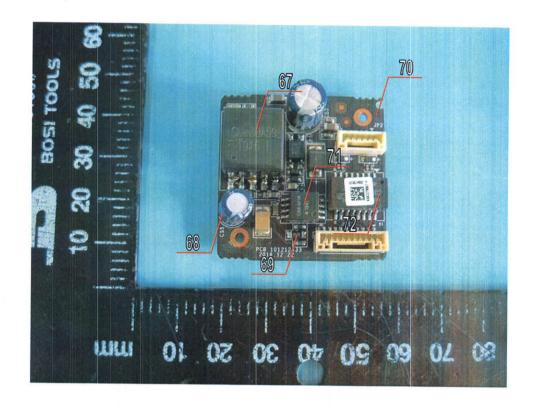


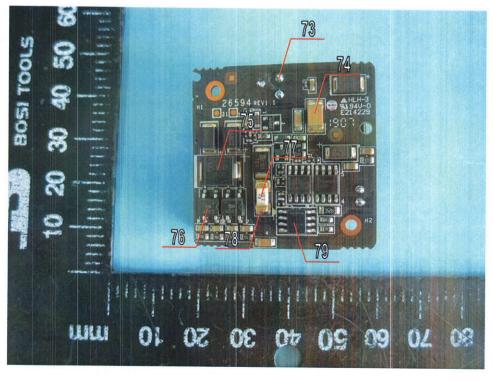




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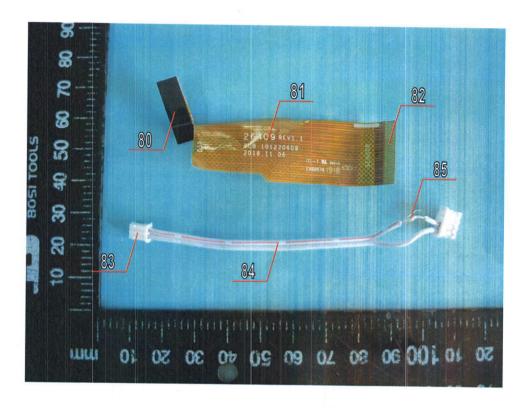


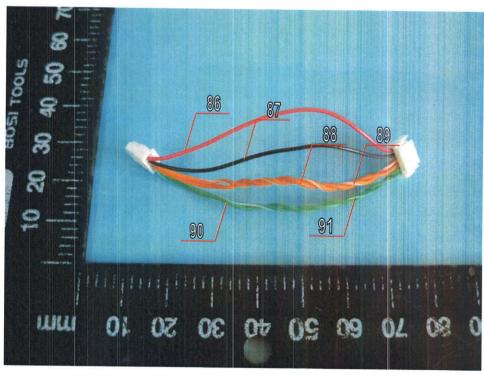




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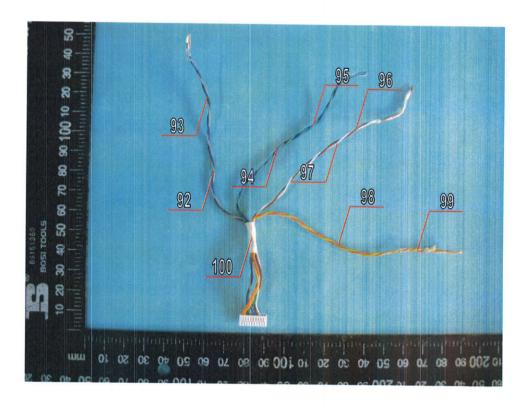


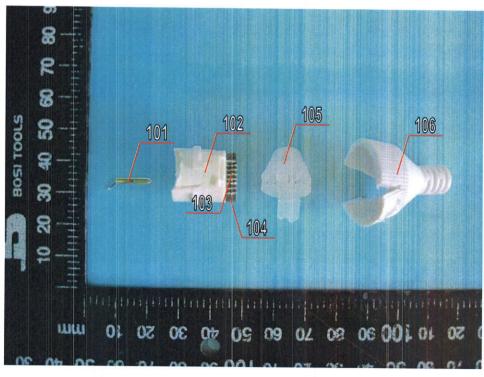




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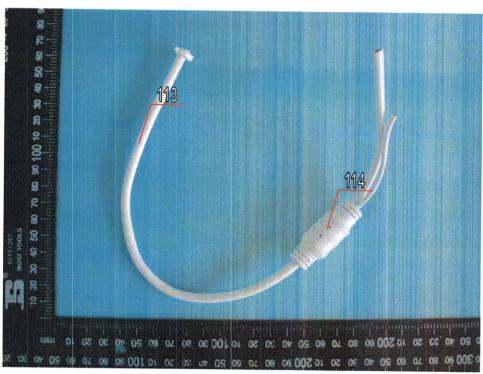




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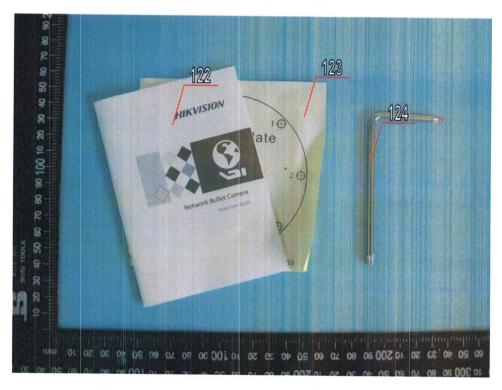




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\*\*\*\*End of Report\*\*\*\*

# General Terms and Conditions of Business of TÜV Rheinland in Greater China

- These General Terms and Conditions of Business of TÜV Rheinland in Greater China (GTCBT) is made between the client and one or more member entities of TÜV Rheinland in Greater China a applicable as the case may be (TÜV Rheinland). The Greater China hereof refers to Mainland China, Hong Kong and Talwan. The client hereof includes:
- a natural person capable to form legally binding contracts under the applicable laws concludes the contract not for the purpose of a daily use;
- (ii) the incorporated or unincorporated entity duly organized, validly existing and capable to form legally binding contracts under the applicable law.
- 1.2 The following terms and conditions apply to agreed services including consultancy services, information, deliveries and similar services as well as ancillary services and other secondary obligations provided within the scope of contract performance.
- 1.3 Any standard terms and conditions of the client of any nature shall not apply and shall hereby be expressly excluded. No standard contractual terms and conditions of the client shall form part of the contract even if TÜV Rheinland does not explicitly object to them.
- 1.4 In the context of an ongoing business relationship with the client, this GTCB shall also apply to future contracts with the client without TÜV Rheinland having to refer to them separately in each inclinations.

Unless otherwise agreed, all quotations submitted by TÜV Rheinland can be changed by TÜV Rheinland without notice prior to its acceptance and confirmation by the other party.

#### Coming into effect and duration of contracts

- Coming into effect and duration of contracts. The contract shall come into effect for the agreed terms upon the quotation letter of TÜV. Rheinland or a separate contractual document being signed by both contracting parties, or upon the vordes requested by the client being carried out by TÜV Rheinland. If the client instructs TÜV Rheinland without receiving a quotation from TÜV Rheinland (quotation), TÜV and the contract of the contraction of the contraction of the contraction of such acceptance (including notice sent via electricin emans) or by performing the requested productions of the contraction of the
- 3.2 The contract term starts upon the coming into effect of the contract in accordance with article 3.1 and shall continue for the term agreed in the contract.
- If the contract provides for an extension of the contract term, the contract term will be extended by the term provided for in the contract unless terminated in writing by either party with a six week notice prior to the end of the contractual term

- 4.1 The scope and type of the services to be provided by TÜV Rheinland shall be specified in the contractually agreed service scope of TÜV Rheinland by both parties. If no such separate service scope of TÜV Rheinland exists, then the written confirmation of order by TÜV Rheinland shall be decisive for the service to be provided.
- 4.2 The agreed services shall be performed in compliance with the regulations in force at the time the contract is entered into.
- 4.3 TÜV Rheinland is entitled to determine, in its sole discretion, the method and nature of the assessment unless otherwise agreed in writing or if mandatory provisions require a specific procedure to be followed.
- On execution of the work three shall be no simultaneous assumption of any guarantee of the corrector (proper quality) and working order of either tested or examined parts no of the installation as a whole and its upstream ander downstream processes, organisations, use and application in accordance with regulations, nor of the systems on which the installation is based. In particular, TOV Rheinland shall assume no reopositively for the construction, selection of interests and assembly of installations caumined, nor for their use and application in accordance with regulations, unless these questions are expressly covered by the contract.
- 4.5 In the case of inspection work, TÜV Rheinland shall not be responsible for the accuracy or checking of the safety programmes or safety regulations on which the inspections are based, unless otherwise expressly agreed in writing.
- If mandatory legal regulations and standards or official requirements for the agreed service scope change after conclusion of the contract, with a written notice to the client, TÜV Rheinland shall be entitled to additional remuneration for resulting additional expenses.
- 4.7The services to be provided by TÜV Rheinland under the contract are agreed exclusively with th client. A contract of third parties with the services of TÜV Rheinland, as well as makin available of and justifying confidence in the vent results (set reports, test results, experiences, etc.) is not part of the agreed services. This also applies if the client passes on wor results. In the full of in extracts to third parties in accordance with clause 11.4.

#### Performance periods/dates

- The contractually agreed periods/dates of performance are based on estimates of the work involved which are prepared in line with the details provided by the client. They shall only be binding if being confirmed as binding by TÜV Rheinland in writing.
- If binding periods of performance have been agreed, these periods shall not commence until the client has submitted all required documents to  $T\bar{U}V$  Rheinland.
- 5.3 Articles 5.1 and 5.2 also apply, even without express approval by the client, to all extensions of agreed periods/dates of performance not caused by TÜV Rheinland.
- 5.4TÜV Rheinland is not responsible for a delay in performance, in particular if the client has fulfilled his duties to cooperate in accordance with clause 6.1 or has not done so in time an particular, has not provided TÜV Rheinland with all documents and information required for performance of the service as specified in the contract.
- 5.5f the performance of TÜV Rheinland is delayed due to unforeseeable circumstances such as force majeure, strikes, business disruptions, governmental regulations, transport obstacles, etc., TÜV Rheinland is entitled to postpone performance for a reasonable period of time which corresponds at least to the duration of the hindrance plus any time period which may be considered to the such as the period which may be considered to the period which may be considered to the period which may be considered to the duration of the hindrance plus any time period which may be considered to the period which the period which may be considered to the period which may be considered

#### The client's obligation to cooperate

- 6.1 The client shall guarantee that all cooperation required on its part, its agents or third parties will be provided in good time and at no cost to TÜV Rheinland.
- Design documents, supplies, auxiliary staff, etc. necessary for performance of the services shall be made available free of charge by the client. Moreover, collaborative action of the client must be undestaken in accordance with legal provisions, standards, safety regulations and accident prevention instructions. And the client represents and warrants that:

#### a) it has required statutory qualifications:

- b) the product, service or management system to be certified complies with applicable laws and regulations; and
- c) it doesn't have any illegal and dishonest behaviours or is not included in the list of Enterp with Serious Illegal and Dishonest Acts of People's Republic of China.
- If the client breaches the aforesaid representations and warranties, TÜV Rheinland is entitled to i) immediately terminate the contract/order without prior notice; and ii) withdraw the issued testing report/certificates if any.
- The client shall bear any additional cost incurred on account of work having to be redone or being delayed as a result of late, incorrect or incomplete information provided by or lack of proper cooperation from the client. Even where a fixed or maximum price is agreed, TUV Rheinland shall be entitled to charge extra fees for such additional expenser.

- 7.1 If the scope of performance is not laid down in writing when the order is placed, invoicing shall be based on costs actually incurred. If no price is agreed in writing, invoicing shall be made in accordance with the price list of TÜV Rheinland valid at the time of performance.
- 7.2 Unless otherwise agreed, work shall be invoiced according to the progress of the work
- 7.3 If the execution of an order extends over more than one month and the value of the contract or the agreed fixed price exceeds £2,500,00 or equivalent value in local currency. TÜV Rheinland may demand payments on account or in instalments.

#### 8. Payment terms

- 8.1 All invoice amounts shall be due for payment without deduction on receipt of the invoice. No discounts and rebates shall be granted.
- Payments shall be made to the bank account of TÜV Rheinland as indicated on the invoice, stating the invoice and client numbers.
- In cases of default of payment, TÜV Rheinland shall be entitled to claim default interest at the applicable short term loan interest rate publicly announced by a reputable commercial bank in the country where TÜV Rheinland is located. At the same time, TÜV Rheinland reserves the right to claim further damages.
- 8.4 Should the client default in payment of the invoice despite being granted a reasonable grace period, TÜV Rheinland shall be entitled to cancel the contract, withdraw the certificate, claim damages for non-performance and refuse to continue performance of the contract.
- 8.5 The provisions set forth in article 8.4 shall also apply in cases involving returned chequic cessation of payment, commencement of insolvency proceedings against the client's asset

- cases in which the commencement of insolvency proceedings has been dismissed due to lack
- Objections to the invoices of TÜV Rheinland shall be submitted in writing within two we receipt of the invoice.
- 8.7 TÜV Rheinland shall be entitled to dema
- TOV Rhoinland shall be entitled to use; it focus in beginning of a month if overheads and/or purchase courts have increased. If this case, ITIV Rhoinland shall be entitled to me; it is focus in the beginning of a month if overheads and/or purchase courts have increased. In this case, ITIV Rhoinland shall be they the circum to seek the purchase courts have increased. In this case, ITIV Rhoinland shall be the first to the service in the circum the first in the second in the service into effect typeriod of notice of changes in feet.) If the rine in feet coverage is the circum shall be sentialled to terminate the contract by the end of the period of notice of changes in feet. If the contract is not terminated the changed feet shall be deemed to have been agreed upon by the time of the capity of the notice period.
- 8.9 Only legally established and undisputed claims may be offset against claims by TÜV

#### 9. Acceptance of work

- 9.1 Any part of the work result ordered which is complete in itself may be presented by TÜV Rheinland for acceptance as an instalment. The client shall be obliged to accept it immediately.
- 9.2 If acceptance is required or contractually agreed in an individual case, this shall be deemed to have taken place two (2) weeks after completion and handover of the work, unless the client refuses acceptance within this period stating at least one fundmental breach of contract by TUV Rheinland.
- 9.3 The client is not entitled to refuse acceptance due to insignificant breach of contract by TÜV Rheinland
- 9.4 If acceptance is excluded according to the nature of the work performance of TÜVRh the completion of the work shall take its place.
- me competen or me work small sale is pace.

  9.5 If the client was unable to make use of the time windows provided for within the scope of a certification procedure for auditing/performance by TOV Revietand and the certificate is therefore to be withdrawn (e.g. performance of surveillance audits). The most is striked to immediately charge a lamp-sum compensation of 10% of the order amount and exceptable of respensation. The client reserves the right to prove that the TOV Rehelland has incurred no damage whatsoever or only a considerably lower damage than the above tump sum.
- Insofar as the client has undetaken in the contract to accept services. TUY Rheisland shall also be entitled to charge lump-sum damages in the amount of 10% of the order amount as compensation for expenses if the service is not called whith one year after the order has been placed. The client reserves the right to prove that the TUY Rheisland has incurred no damage whatsoever or only a considerably lower damage than the above mentioned tumps sum.

#### 10. Confidentiality

- 10. Confidentiality
  10. Confidentiality
  10. The purpose of these terms and concilions, "confidential information," means all information, documents, images, drawings, know-how, data, samples and project documentation which one party (the "disclosing party) handso owe, transfers or otherwise discloses to the other party (the "receiving party"), and the confidential information created during performance of work by TÜV. Rheinland, including product lesting data, defects, conformity to the technical standard and related reports. Confidential information also includes paper copies and electronic copies of such information. Confidential information is operately not the data and know-how collected, compiled and confidential information. Confidential information is operately not the data and know-how collected, compiled control or confidential information is operately not the data and know-how collected, compiled control or confidential information is operately not the data and know-how collected, compiled control or confidential information in control on which is confidential information and the data and know how collected, and the data and the d
- 10.2 The disciolar party shall mark all confidential information disclored in written form as confidential before passing it onto the receiving party. The same applies to confidential information transmitted by e-mail. Confidential information in disclosed orally, the receiving party shall be appropriately informed in advince and the disclosing party shall confirm in writing the confidential sprature of the information within the working days of oral cisclosure. Where the disclosing party falls to do so within the subjusted period, the receiving party shall not take any confidentially deligations hereunder towerds such information.
- 10.3 All confidential information which the disclosing party transmits or otherwise discloses to the receiving party and which is created during performance of work by TÜV Rheinland:
  - a) may only be used by the receiving party for the purposes of performing the contract, unless expressly otherwise agreed in writing by the disclosing party;

b)may not be copied, distributed, published or otherwise disclosed by the receiving party, un this is necessary for fulfilling the purpose of the contract or TÜV Rheinland is required to pe confidential information, inspection reports or documentation to the government authorities, judicial court, accreditation bodies or third parties that are involved in the performance of the contract.

c)must be treated by the receiving party with the same level of confidentiality as the receiving party uses to protect its own confidential information, but never with a lesser level of confidentiality than that which is reasonably required.

- 10.4 The receiving party may disclose any confidential information received from the disclosing p enly to those of its employees who need this information to perform the services required for contract. The receiving party undertakes to oblige these employees to observe the same leve secrecy as set often in this confidentially clause.
- ution for which the receiving party can furnish proof that
- a)it was generally known at the time of disclosure or has become general knowledge of this confidentiality clause by the receiving party; or
- b)it was disclosed to the receiving party by a third party entitled to disclose this information; or c)the receiving party already possessed this information prior to disclosure by the disclosing party;
- d) the receiving party developed it itself, irrespective of disclosure by the disclosing party, shall not be deemed to constitute "confidential information" as defined in this confidentialty clause.
- be deemed to constitute 'confidential information' as defined in this confidentially disuse.

  10.6 All confidential information shall remain the property of the disclosing party. The receiving party hereby agrees to immediately (i) return all confidential information, including all copies, to the disclosing party, and/or (ii) or request by the disclosing party, to destroy all confidential information, including all copies, and confirm the destruction of this confidential information to expert of the contract. This does not extent to the disclosing party in writing, at any time if so requested by the disclosing party in writing, at any time if so requested by the disclosing party but at the latest and without special request after thermation or expery of the contract. This does not extent to lead the confidential request their thermation with the claim. However, TUV Phenhandia is entitled to make life cognised, which shall tream with the destine all confidentials information that forms the basis for preparing these reports and certificates in order to evidence the correctness of the results and for general documentation purposes required by laws, regulations and the requirements of working procedures of TÜV Rheinland.
- From the start of the contract and for a period of three years after termination or expiry of the contract, the receiving party shall maintain strict secrecy of all confidential information and shall not disclose this inf

### Copyrights and rights of use, publications

- TÜV Rhenland shall retain all exclusive copyrights in the reports, expert reports/opinions, test reports/results, results, calciustions, presentations etc. prepared by TÜV Rheinland, unless otherwise agreed by the parties in a separate agreement. As the owner of the copyrights, TÜV Rheinland is free to grant others the right to use the work results for individual or all types of use (right of use).
- 11.2 The client receives a simple, unlimited, non-transferable, non-subliconsable right of us contents of the work results produced within the scope of the contract, unless otherwise by the parties in a separate agreement. The client may only use such reports reports opinions, test reports/results, results calculations, presentations etc. prepared w scope of the contract for the contractually agreed purpose.
- 11.3 The transfer of right of use of the generated work results regulated in clause 11.2. of the GTCB is subject to full payment of the remuneration agreed in favour of TÜV Rheinland.
- The client may use work results only complete and unshortened. The client may only pass on the work results in full unless TÜV Rheinland has given its prior written consent to the partial passing on of work results.
- 11.5 Any publication or duplication of the work results for advertising purposes or any further use of the work results beyond the scope regulated in clause 11.2 needs the prior written approval of TÜV Rheinland in each individual case.
- 11.6 TÜV Rheinland may revoke a once given approval according to clause 11.5 at any time without stating reasons. In this case, the client is obliged to stop the transfer of the work results immediately at his own expense and, as far as possible, to withdraw publications.
- The consent of TÜV Rheinland to publication or duplication of the work results does not entitle the client to use the corporate logo, corporate design or test/cert/fication mark of TÜV Rheinland.

### Liability of TÜV Rheinland

Libbury of I/Or nereminary interpreted for the full set extent permitted by applicable law, in the event breach of contractual obligations or tort, the liability of ITOV Rhenland for all damages, losser enhancement of contractual obligations or tort, the liability of ITOV Rhenland, its legal representatives a employees shall be limited bit; (i) In the case of a contract with a fixed overall fee, for the entire contract, (ii) in the case of a contract operatory charged on a time and material basing the contract of a contract operatory charged on a time and material basing the contract operatory charged on a time and material basing the contract operatory charged on a time and material basing the contract operatory charged on a time and material basing the contract operatory charged on a time and material basing the contract operatory charged on a time and material basing the contract operatory charged on the contract operatory charged on the material charged on the contract operatory charged on the material charged on the charged on the contract operatory charged on the charged on the

maximum of 20,000 Euro or equivalent amount in local currency; and (iv) in the case of a framework agreement that provides for the possibility of placing individual orders, three times of the for the individual order under which the damages or losses have occurred. Notwithstanding the above, in the event that the total and accumulated liability calculated according to the foregoing provisions coded 5.5 Million Euro or equivalent amount in local currency, the total and accumulated and accumulated according to the foregoing provisions exceeds 2.5 Million Euro or equivalent amount in local currency.

- The limitation of liability according to article 12.1 above shall not apply to damages and caused by malice, intent or gross negligence on the part of TÜV Rheinland or its vicariou. Such limitation shall not apply to damages for a person's death, physical injury or illness
- 12.3 In cases involveding a fundamental breach of contract. TUP Rehistral triply or litness.
  12.3 In cases involveding a fundamental breach of contract. TUP Rehistral wall be liable even where minor negligence is involved. For this purpose, a "fundamental breach" is breach of a material contractual obligation, the performance of which permits the due performance of case in the performance of the permits the due performance of case in the performance of the permits the due performance of case in the performance of the permits the due to the amount of damages are associately foreseen as a possible consequence of such breach of contract at the time of the breach (reasonably foreseenable damages), unless any of the circumstances described in article 12 applies.
- TAV Repinal shall not be lable for the acts of the personnel made available by the client to support TÜV Rheinland in the performance of its services under the contract, unless such personnel made available is regarded as vicarious agent of TÜV Rheinland. If TÜV Rheinland is not lable for the acts of the personnel made available by the client under the foregoing provide the client shall indemnity TÜV Rheinland galent any claims made by third parties arising from or in connection with such personnel's acts.
- Unless otherwise contractually agreed in writing,  $T\bar{U}V$  Rheinland shall only be liable under the contract to the client.
- tion periods for claims for damages shall be based on statutory provision
- 12.7 None of the provisions of this article 12 changes the burden of proof to the disadvantage of the

- 13.1When passing on the services provided by TÜV Rheinland or parts thereof to third parties in Greater China or other regions, the client must comply with the respectively applicable regulations of national and international export control law.
- 13.2 The performance of a contract with the client is subject to the provise that there are no obstacles to performance due to national or international foreign trade legislations or embarges and/or sanctions, in the event of a violation, TUV Rheinland shall be entitled to terminate the contract with immediate effect and the client shall compensate for the losses incurred theed by TUV Rheinland.

#### Data protection notice

Data protection notice

TÜV Rheinland processes personal data of the client for the purpose of fulfilling this contract, in addition, TÜV Rheinland also processes the data for other legal purposes in accordance with the relevant legal basis. The personal data of the client vide only be decided to other natural or legal personal data as met. This also applies to transfers to third countries of the personal data as a corresponding reason for deletion, and a corresponding reason for deletion areas. Data subjects may exercise the following also on as a corresponding reason for deletion areas. Data subjects may exercise the following also on as a corresponding reason for deletion areas. Data subjects may exercise the following also on as a corresponding reason for deletion, report of processing in the processing that the review of the corresponding to the control of the control of the corresponding to the control of the control of the corresponding to the control of the c

#### 15. Test material: transport risk and storage

- 15.1The risk and costs for freight and transport of documents or test material to and from TÜV Rheinland as well as the costs of necessary disposal measures shall be borne by the client.
- 15.2Any destroyed and otherwise worthless test material will be disposed of by TVV Rheinland for the client at the expense of the client, unless otherwise agreed.

  15.3Undamaged lest material shall be stored by TVV Rheinland for four (4) weeks after completion of the test. If a longer storage period is desired, TVV Rheinland charges an appropriate storage fee.
- 15.4After the expiry of the 4 weeks or any longer period agreed upon, the test material will be disposed of by TÜV Rheinland for the client for a fee in accordance with clause 15.2.

- 16.1 Notwithstanding clause 3.3 of the GTCB, TÜV Rheinland and the client are entitled to terminate the contract in its entirety or, in the case of services combined in one contract, each of the combined parts of the contract individually and independently of the continuation of the remaining services with six (6) months' notice to the end of the contractually agreed term.
- 16.2For good causes, TÜV Rheinland may consider giving a written notice to the client to terminate the contract which includes but not limited to the following:
- a) the client does not immediately notify TÜV Rheinland of changes in the conditions within the company which are relevant for certification or signs of such changes;
- b) the client misuses the certificate or certification mark or uses it in violation of the contract:
- c) in the event of several consecutive delays in payment (at least three times);
- d) a substantial deterioration of the financial circumstances of the client occurs and as a result the
  payment claims of TÜV Rheinland under the contract are considerably endangered and TÜV
  Rheinland cannot reasonably be expected to continue the contractual relationship.
- Renelland cannot reasonably be expected to continue the contractual relationship.

  16.3Is the event of termination with written notice by TÜV Rheinland for good cause, TÜVRheinland for good cause, TÜVRheinland sould be entitled to a tump-sum claim for damages against the client if the conditions of a claim for damages exist, in this case, the client shall ove 15% of the remuneration to be paid until the end of the fixed contract form as tump-sum compensation. The client reserves the right to prove that there is no damage or a considerably higher chamage in individual cases.
- 16.4TÜV Rheinland is also entitled to terminate the contract with written notice if the client has not be able to make use of the time windows for auditing /service provision provided by TÜV Rheinla within the scope of a certification procedure and the certificate therefore has to be withdrawn (f example during the performance of monitoring audits). Clause 16.3 applies accordingly.

- 17.1 All amendments and supplements must be in writing in order to be effective. This also applies to amendments and supplements to this clause 17.1.
- 17.2 Should one or several of the provisions under the contract and/or these terms and cond or become ineffective, the contracting parties shall replace the invalid provision with a leg provision that comes closest to the content of the invalid provision in legal and commercia.
- Unless otherwise stipulated in the contract, the governing law of the contract and these terms and conditions shall be chosen following the rules as below:
- a)if TÜV Rheinland in question is legally registered and existing in the People's Republic of China, the contracting parties hereby agree that the contract and these terms and conditions shall be governed by the laws of the People's Republic of China. bif TÜV Rheinland in question is legally registered and existing in Talwan, the contracting parties hereby agree that the contract and these terms and conditions shall be governed by the laws of Talwan.
- c)if TÜV Rheinland in question is legally registered and existing in Hong Kong, the contracting parties hereby agree that the contract and these terms and conditions shall be governed by the laws of Hong Kong. 17.4 Any dispute in connection with the contract and these terms and conditions or the execution thereof shall be settled friendly through negotiations.
- Unless otherwise stipulated in the contract, if no settlement or no agreement in respect of the extension of the negotiation period can be reached within two months of the arising of the dispute, the dispute shall be submitted:
- a)in the case of TÜV Rheinland in question being legally registered and existing in the People's Repoble of China, to China International Economic and Trade Arbitration Commission (ICETAL) to Distration under the Arbitration Rules of CIETAC in force when the arbitration is submitted. The arbitration shall take place in Beijing, Shanghai, Shenzhen or Chongqing as appropriately choise in by the Idaning party.
- b)In the case of TÜV Rheinland in question being legally registered and existing in Taiwan, to Chinese Arbitration Association Taipiel Branch to be arbitrated in accordance with its then current Rules of Arbitration. The arbitration shall take place in Taipei.
- on the case of TÜV Rheinland being legally registered and existing in Hong Kong, to Hong Kong international Arbitration Centre (HKIAC) to be settled by arbitration under the HKIAC Administered Arbitration Rules in force when the Notice of Arbitration is submitted in accordance with these rules. The arbitration shall take place in Hong Kong.
- The decision of the relevant arbitration tribunal shall be final and binding on both parties. The arbitration fee shall be borne by the losing party.